

TRANSFER AUTHORIZATION

Use this form to transfer funds from your former custodian

A transfer occurs when you authorize your current IRA custodian/trustee to transfer assets from your existing account to your IRA account held at IRA Services Trust Company. You may only transfer cash and acceptable assets. Therefore, any non-acceptable assets at your existing custodian/trustee must be liquidated and delivered in cash.

This form authorizes a direct transfer of cash and acceptable assets from your current custodian/trustee to your IRA account held at IRA Services Trust Company.

Transfer Authorizations require:

- An **Original Signature**; please complete this form and return it to The IRA Club at the above captioned address.
- A copy of a **recent account statement** from the former trustee/custodian

Section 1 PARTICIPANT IDENTIFICATION

Full Name

Phone No

Social Security Number

IRA Acct. No. (if available) (six digits)

IRA Account type (Example: Traditional, Roth or SEP)

Section 2 Transfer From

Do not complete this form if: You are intending to transfer a 401k, 457, 403b, governmental or other tax-exempt employer/organization retirement plan (i.e. Profit Sharing Plans, Defined Benefit Plans, etc.) Movement of assets from these types of plans cannot be processed as a TRANSFER. You must contact your Plan Administrator to initiate the movement of funds from these types of plans. The IRA Club can provide you with a How to Guide.

Account Type Traditional IRA Roth IRA SEP IRA Simple IRA Other _____

Transfer from Account Number**Custodian Name****Custodian Address****Contact person (if any)****Phone #**

Section 3 Cash or Assets to be Transferred

If this is a new account it is recommended that you transfer more than the expected amount of your first investment. There is a \$500 minimum IRA Account balance requirement and account fees that will need to be accommodated.

Transfer ALL available cash

By checking this option, I understand that my account with the above listed Custodian must be liquidated into a cash position prior to submitting this request to IRA Services or delays may occur.

Transfer EXACTLY: \$

By checking this option, I understand that there must be sufficient cash available in my account with the above listed Custodian prior to submitting this request to IRA Services or delays may occur.

Transfer ALL Assets in kind (including cash)

Transfer ONLY the following assets in kind (please attach a separate page if necessary):

For in kind transfers you must include on a separate sheet complete contact information of the asset company sponsors

Asset name: _____ No. of shares: _____ Value: \$

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Section 4 DELIVERY INSTRUCTIONS FOR CUSTODIAN

Select how you want your former Custodian to deliver your cash or assets. If no option is selected your Custodian will choose for you.

Mail

Send checks and in-kind transfer documents to:
IRA Services, P.O. Box 7080, San Carlos, CA 94070

Make Checks Payable to:
**“IRA Services Trust Company CFBO [participant name]
[IRA Service Account Number (if available)]”**

For overnight delivery:
IRA Services, 1160 Industrial Rd. Suite 1, San Carlos, CA 94070

Wire (incoming wire fee applies)
(Do not send cash via ACH)

Institution Name	Fremont Bank
Beneficiary Acct Name	IRA Services
ABA Routing #	121107882
Beneficiary Acct #	19902328
For further credit	FBO [Participant Name],IRA [account #]

DTC (For publicly traded assets only such as stocks, bonds, mutual funds)

Firm: Sorrento Pacific Financial / DTC # 0443
Acct Title: IRA Services Trust Co. Custodian Acct # Occ-796319

Section 5 PARTICIPANT AUTHORIZATION

By signing below, I acknowledge that the appointment of IRA Services Trust Company as custodian will become effective upon receipt of the assets from the prior custodian/trustee. I further acknowledge that IRA Services Trust Company assumes no liability for the action or inaction of the prior custodian/trustee as to the proper and timely transfer of funds.

Signature of IRA Participant:

[Signature Line]

Date

[Date Line]

Stop: the following section will be completed by the Custodian

IRA SERVICES COMPANY’S ACCEPTANCE: IRA Services Trust Company accepts its appointment as Custodian.

Authorized IRA Services Signature: _____

Medallion Signature Guarantee:

Tax ID 26-2627205

Date ____/____/____